



Institution name:	Details LSHTM	The institutional audience* for this action	n plan includes (comple	te or delete, as appropriate):
Cohort number:	18	Audience	#	Comments
Date of submission:	24-Oct-19	Research staff	752	LSHTM's main audience for the HREiR action plan are staff on fixed term contract (Research Assistants, Research Fellows and Assistant Professors)
Institutional context	As a research-intensive organisation, all academic staff (Research Assistant, Research Fellow, Assistant Professor, Associate Professor and Professor) are engaged in research. For the work relating to the Researcher Development Concordat, LSHTM defines "researcher" as any staff member with a research time allocation and Research Degree (RD) studentsAll actions will benefit researchers at the School, however actions in the 2021-2023 action plan particularly focus on staff on fixed-term contracts (particularly Research Assistants, Research Fellows and Assistant Professors).		369	This would be LSHTM's managers of researchers

Column1	Obligation2	Action	Success Measure (SMART)	Deadline		Progress update (to be completed for submission)	Outcome/result
	Obligation	Action	Success measure (SMART)	Deadline	Responsibility	Progress update (to be completed for submission)	Outcome/ result
Environn	nent and Culture						
Institutio	ns must:						
ECI1	Ensure that all relevant staff are aware of the Concordat	ECI1.1. Develop an updated communications plan to raise awareness of the Researcher Development Concordat.	Campaign developed and launched by June 2021. Increased engagement with work related to the Concordat. Awareness of the Concordat from all staff increased by 20% as measured at CEDARS 2023 (from 43% of respondents in 2021) in question 43.1.	October 2023	Head of TED / Strategic Research Officer		
	Ensure that institutional policies and practices relevant to researchers are inclusive, equitable and transparent, and are well-communicated to researchers and their managers	ECI2.3. Develop LSHTM core 'Values' that articulate the expected values and behaviours which create a positive working environment.	guide behaviour by Spring 2022. Values decided and embedded School-wide and in all processes and practices.	November 2022	Director/Values working group		
ECI3	Promote good mental health and wellbeing through, for example, the effective management of workloads and people, and effective policies and practice for tackling discrimination, bullying and harassment, including providing appropriate support for those reporting issues	ECI3.1. Introduce online tool to report issues of bullying and harassment, including anonymous reporting alongside communication and provision of support via the anti-bullying and harassment advisor network. Trend analysis and reporting.	Increase use and awareness of Report and Support tool. Aiding in creating working culture where staff do not feel subject to bullying or other forms of mistreatment. Increase by 10% to the question: "Have you reported being currently harassed or bullied at work? (as % of people who are currently being harassed and bullied at work)" from 38% in 2019 in staff survey 2022. And a 10% increase in respondents agreeing in question 38.3 of CEDARS 2023 (from 45.81% in 2021).		EDI manager		
		ECI3.2. Establish an agreed framework for allocating workload in a fair and consistent way. Review workloads and long hours culture to ensure these do not negatively impact on staff health and wellbeing.		November 2023	Athena SWAN Chair & EDI manager		
		ECI3.3. Wellbeing group and Wellbeing Task and Finish Group to continue working on improving wellbeing of staff and students. Identify issues and needs using surveys. Update wellbeing initiatives.	Wellbeing initiatives launched. Feedback through surveys and RSF. Increase response to question "I feel the School promotes good health and wellbeing at work" from 61% to 70% at the staff survey 2022. 10% increase in respondents agreeing in question 41.4 in CEDARS 2023 (from 61.29% in CEDARS 2021).	September 2023	Health & Safety / Chair of wellbeing group		





						MEDICINE	 HR EXCELLENCE IN RESEARCH
	Ensure that managers of researchers are effectively trained in relation to equality, diversity and including, wellbeing and mental health	1.4.d. Increase completion rates of new mandatory training. TED to provide support and information to enable managers to ensure their new staff complete the mandatory training. Increase communication to new starters and managers about mandatory training.	New starters better engaged with mandatory training and in the induction process. 20% increase in completion rates for 6 months (from 31%) and 10% increase for week 1 (from 70%).	December 2022	Training & Development Advisor		
		ECI4.1. Review and refresh current 'Leadership and Management Behaviour Framework' to ensure it is fit for purpose and relevant, and links to LSHTM's Values (once finalised).	Successful PDR outcome and academic promotion contingent on staff meeting expected professional standards and behaviours. Staff who fall short of these expectations challenged as necessary by senior management.	November 2023	Executive Team		
		ECI4.2. Increase staff training and understanding of EDI, bullying, harassment (including racism and racial harassment), microaggressions including bystander interventions and how to respond, report and access support.	Increased communication about expectations for staff relating to EDI and how to respond. 10% increase in respondents agreeing in question 41.3 to CEDARS 2023 (from 60% in CEDARS 2021). Measure through Athena SWAN and Race Equality Charter surveys that 80% of staff feel well informed on EDI, race equality and equipped to challenge microaggressions/unacceptable behaviours or know where to source support to do so.	November 2023	EDI manager		
	Ensure that researchers and their managers are aware of, and act in accordance with, the highest standards of research integrity	ECI5.1. Responsibilities around research integrity to form part of academic expectations available to all staff.	Updated academic expectations published. Awareness measured through the Research Staff Forum and CEDARS. 5% increase in respondents agreeing to question 41.2 in CEDARS 2023 (78.07% in CEDARS 2021).	September 2023	Head of RGIO		
	Regularly review and report on the quality of the research environment and culture, including seeking feedback from researchers, and use the outcomes to improve institutional practices	No further actions identified - this is business as usual					
Funders n	nust:						
ECF1	Including requirements which promote equitable, inclusive and positive research cultures and environments in relevant funding calls, terms and conditions, grant reporting, and policies						
	Consider how funding opportunities and policies can facilitate different patterns and ways of working, and promote the wellbeing and mental health of researchers	N/A					
	Ensure that funding call requirements and selection processes offer equality of opportunity between different groups of researchers, recognise personal contexts, and promote positive research cultures and working conditions	N/A					
Managers	of researchers must:						
ECM1	Undertake relevant training and development opportunities related to equality, diversity and inclusion, and put this into practice in their work	No further action identified - see ECI4.2					
	Ensure that they and their researchers act in accordance with the highest standards of research integrity and professional conduct	ECM2.1. Full online version of the Ethics and Good Research Practice courses to be developed and advertised to all staff.	Training to be part of mandatory training and available to all. Completion rates monitored with other mandatory training aiming for 80% completion rates from new starters. Increase by 10% of respondents having done in CEDARS 2023 in question 26.7 (from 14.19% in CEDARS 2021).	November 2023	Head of RGIO		
	Promote a healthy working environment that supports researchers' wellbeing and mental health, including reporting and addressing incidents of discrimination, bullying and harassment, and poor research integrity	No further action identified - see ECI4.2, ECR3.1 and ECI2.3					
	Consider fully, in accordance with statutory rights and institutional policies, flexible working requests and other appropriate arrangements to support researchers	ECM4.1. Flexible Working Policy to be reviewed by HR and Trade Unions. Develop a more inclusive flexible working environment for all staff through review and implementation of the flexible working policy including line management training and networks for parents and carers.	Policy successfully updated and promoted to staff. Awareness of flexible working measured through staff surveys and Research Staff Forum. 5% increase of respondents agreeing to question 25.10 (from 81% in CEDARS 2021).	June 2022	Director of HR		





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	Engage with opportunities to contribute to policy development aimed at creating a more positive research environment and culture within their institution	No further actions identified - staff are invited to join the various initiatives such as the EDI committees or the Research Staff Forum/Research Culture sub group and to participate in staff consultations.					
Research	ers must:						
	Actively contribute to the development and maintenance of a supportive, fair and inclusive research culture and be a supportive colleague, particularly to newer researchers and students	No further action identified - see ECI4.2					
	Ensure they act in accordance with employer and funder policies related to research integrity, and equality, diversity and inclusion	No further actions identified - see ECI5.2					
ECR3	Take positive action towards maintaining their wellbeing and mental health	ECR3.1. Attendance to wellbeing training and events to be monitored to ensure appropriate communication of available support.	Good take-up of wellbeing events across the School. Monitor satisfaction rates from training feedback to be higher than 80%. 10% increase at CEDARS 2023 in respondents agreeing in question 42.5 (from 76.13% in CEDARS 2021) and to question 41.4 (from 61.29% in CEDARS 2021).	June 2023	Training & Development Advisor		
	Use available mechanisms to report staff who fail to meet the expected standards of behaviour, particularly in relation to discrimination, harassment, bullying, and research misconduct	ECR4.1. Monitor reports of complaints made to the Research Governance and Integrity Office and reviewed at the Research Governance Committee and use of report and support tool for bullying and harassment by EDI team through termly reports.	Reports monitored and outcomes communicated to the School. Trust monitored through free text boxes in surveys and the research staff forum (and other groups at the School). 10% increase of respondents agreeing in CEDARS 2023 to question 39.1 (from 38.71% in CEDARS 2021), to question 39.2 (from 51.61% in CEDARS 2021), to question 38.1 (from 57.42% in CEDARS 2021) and to question 38.2 (from 50.97% in CEDARS 2021).	November 2023	Head of RGIO / EDI manager		
	Consider opportunities to contribute to policy development aimed at creating a more positive research environment and culture within their institution	ECR5.1. Review representation of staff at the School's Committees. Diversify decision making committees through on-going diversity review of membership and positive action measures	Review of diversity data on committees completed. Increased diversity of decision making committees by 5%. 10% increase in respondents agreeing they have opportunities to participate in decision making processes in CEDARS 2023 (from 68.4% in CEDARS 2021) in question 14.3	June 2023	Governance / EDI manager		
		ECR5.2 Launch a call for EDI / research culture awards to fund 2 projects working to improve the research culture and EDI.	10 projects of up to £8,000 funded to work on improving research culture or EDI. Learnings communicated and implemented School-wide.	March 2023	Strategic Research Officer / EDI manager		
		ECR5.3 Review representatives within the Research Staff Forum and transition to be representative of the main audience for the action plan work of academics on fixed term contracts.	Group reworked and to be representative of the audience with representatives from Research Assistant, Research Fellow and Assistiant Professors across Faculties and Units.	December 2022	Strategic Research Officer		
Employm							
	Is must: Ensure open, transparent and merit-based recruitment, which attracts excellent researchers, using fair and inclusive selection and appointment practices	No further actions identified - this is business as usual					
	Provide an effective induction, ensuring that researchers are integrated into the community and are aware of policies and practices relevant to their position	3.6. Review on-boarding information for new staff and using feedback from staff develop revised information resource. Carry out a review of the Induction Day programme, ensuring it includes relevant information for new staff. Promote induction to line managers. Revisit local induction for new staff - consider including meeting the Head of Department and more information about academic expectations and promotions criteria.	Feedback from new starters on induction process. Resources updated as needed. Induction day reviewed. Monitor feedback of Induction from new starters. From feedback maintain 95% of respondents having found the induction day useful. Increase by 10% of respondents at CEDARS 2023 agreeing to question 20.1 (from 39.06% in CEDARS 2021).	July 2023	Head of TED		





and promotion pathways that recognise the full range of researchers' contributions and the diversity of personal circumstances	pedagogy	belief, sex or sexual orientation in regard to career progression / promotion" (2019 = 66%) Monitor further through EDI surveys, Athena SWAN and Race Equality Charter self assessment work.	2022	Deputy Director and Provost			
Provide effective line and project management training opportunities for managers of researchers, heads of department and equivalent	El4.1. Online Good Management Practice seminars developed and promoted. Heads of Department Programme training developed. Develop introduction to line management as mandatory part of induction and Essential Skills for Line Management as mandatory refresher every three years.	Training provided to line managers and attendance monitored to ensure engagement. Monitor and maintain over 80% satisfaction rates to the seminars. 20% increase in respondents having done training related to managing others (from 15.9% in CEDARS 2021) in question 33.8.	June 2023	Head of TED			
Ensure that excellent people management is championed throughout the organisation and embedded in institutional culture, through annual appraisals, transparent promotion criteria, and workload allocation	No further actions identified - see EI3.1 and ECI2.3						
Seek to improve job security for researchers, for example through more effective redeployment processes and greater use of open-ended contracts, and report on progress	1.3.a. Review and revise current Fixed Term Contract Policy and Procedure to ensure compliance with legislation. Ensure staff on FTCs are aware of the type of contracts they are employed on and what support is available to them.	staff.	June 2023	Head of HR Partnering & Policy			
within the institution and provide them with formal opportunities to engage with relevant organisational policy and decision- making	No further actions identified - business as usual						
must:							
Include requirements which support the improvement of working conditions for researchers, in relevant funding calls, terms and conditions, grant reporting, and policies	N/A						
Review the impact of relevant funding call requirements on researchers' employment, particularly in relation to career progression and lack of job security	N/A						
Support institutions to develop policies and frameworks to promote sustainable employment arrangements and enhance job security, and provide opportunities for career progression	N/A						
Consider the balance of their relevant funding streams in providing access to research funding and its impact at all career levels	N/A						
s of researchers must:							
Undertake relevant training and development opportunities so that they can manage researchers effectively and fulfil their duty of care	See actions El4.1, ECM2.1, ECl4.2, 1.4.d, 1.3.b						
employment legislation and codes of practice, institutional policies, and the terms and conditions of grant funding	Managing Fixed Term Contracts, as part of the School's Good Management Practice guides for managers. Obtain feedback, adapt training as required and monitor engagement of the training by staff.	found it useful. Increased awareness of redeployment process by staff to be measured through a 10% increase in respondents to CEDARS 2023 being confident in question 25.2 (from 48.1% in CEDARS 2021) and a	March 2023 March 2023	Training & Development Advisor Head of HR Operations / Head of HR Partners			
	researchers' contributions and the diversity of personal circumstances Provide effective line and project management training opportunities for managers of researchers, heads of department and equivalent Ensure that excellent people management is championed throughout the organisation and embedded in institutional culture, through annual appraisals, transparent promotion criteria, and workload allocation Seek to improve job security for researchers, for example through more effective redeployment processes and greater use of open-ended contracts, and report on progress Consider researchers and their managers as key stakeholders within the institution and provide them with formal opportunities to engage with relevant organisational policy and decision-making must: Include requirements which support the improvement of working conditions for researchers, in relevant funding calls, terms and conditions, grant reporting, and policies Review the impact of relevant funding call requirements on researchers' employment, particularly in relation to career progression and lack of job security Support institutions to develop policies and frameworks to promote sustainable employment arrangements and enhance job security, and provide opportunities for career progression Consider the balance of their relevant funding streams in providing access to research funding and its impact at all career levels so fresearchers must: Undertake relevant training and development opportunities so that they can manage researchers effectively and fulfil their duty of care Familiarise themselves, and work in accordance with, relevant employment legislation and codes of practice, institutional policies, and the terms and conditions of grant funding	researchers' contributions and the diversity of personal circumstances  Provide effective line and project management training opportunities for managers of researchers, heads of department and equivalent Ensure that excellent people management is championed throughout the organisation and embedded in institutional culture, through annual appraisals, transparent promotion Seek to improve job security for researchers, for example through more effective to ensure compliance with legislation. Ensure staft on TCS are aware of the type of contracts they are employed on and what support is available to them.  No further actions identified - see EI3.1 and ECI2.3  No further actions identified - see EI3.1 and ECI2.3  No further actions identified - see eI3.1 and ECI2.3  No further actions identified - see eI3.1 and ECI2.3  No further actions identified - see eI3.1 and ECI2.3  No further actions identified - see eI3.1 and ECI2.3  No further actions identified - see eI3.1 and ECI2.3  No further actions identified - see eI3.1 and ECI2.3  No further actions identified - business as usual  No further actions identified - bu	researchers         contributions and the diversity of persional circumstances         10% In the staff survey question: The fit he School acts faily, regardless of age, desbilly, question: The fit he School acts faily, regardless of age, desbilly, question: The fit he School acts faily, regardless of age, desbilly, question: The fit he School acts faily, regardless of age, desbilly, question: The fit he School acts faily, regardless of age, desbilly, question: The fit he School acts faily, regardless of age, desbilly, question: The survey fails faily, fails, fail	researchers contributions and the diversity of persional circumstances incurstances	researchers controlations and the develop of personal circumstances control tables and the develop of personal circumstances control tables and the develop of personal personal personal personal control tables and the school and tables (2014 – 668). Moreor tables (2014 – 6	ensistence inclusions and the diversity of persional conjunctations.         constraining inclusive and anti-case poly         Provide diversity of persional poly         Provide diversity of pe	iseasianter sonthations and he diversity of periodial commitments co





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	Commit to, and evidence, the inclusive, equitable and transparent recruitment, promotion and reward of researchers	EM3.1. Develop and use positive action within academic career development support to close pipeline gaps, including use of CV review process and follow up support	Improved and more equitable academic career development. Monitor through discussions with staff through Research Staff Forum and other initiatives and their surveys. Measured through a 10% increase in the number of respondents in CEDARS 2023 responding that they agree that the institution treats them fairly in terms of opportunities for promotion and progression (from 51.6% in CEDARS 2021) to question 14.4 and a 15% increase in respondents agreeing that the institution has equitable opportunities for career progression (from 33.2% in CEDARS 2021) to question 17.2.	September 2023	EDI manager / Deans of Faculty		
	Actively engage in regular constructive performance management with their researchers	EM4.1. Review PDR training and guidance to ensure it is focused on positive performance management.	Implemented for 2021 and reviewed in evaluation and through staff feedback (80% of respondents agree the PDR process is effective). Aim for 10% agreeing usefulness in question 21 in CEDARS 2023 (from 47.74% in CEDARS 2021).		Head of TED		
	Engage with opportunities to contribute to relevant policy development within their institution	No further actions identified - staff are invited to join various committees and participate in School-wide staff consultation for policy development and initiatives.					
Researche							
	Ensure that they work in accordance with, institutional policies, procedures and employment legislation, as well as the requirements of their funder	No further actions identified - business as usual					
ER2	Understand their reporting obligations and responsibilities	No further actions identified - see ECI3.1 and ECI4.2					
	Positively engage with performance management discussions and reviews with their managers	No further actions identified - see EM4.1, PCDI2.1 and PCDM1.1					
	Recognise and act on their role as key stakeholders within their institution and the wider academic community	ER4.1 Empower staff networks to provide a safe space to discuss issues and input into LSHTM policies and processes as relevant including development of guidance	Space for issues to be discussed created and embedded in the culture of the School. Increase by 10% in 2022 response to "I feel there are adequate opportunities to raise points of concern" (2019 = 58%). 10 % increase in respondents agreeing in question 14.3 in CEDARS 2023 (from 49.67% in CEDARS 2021).	October 2023	Director / EDI team		
Profession	nal and Career Development						
Institution	s must:						
PCDI1	Provide opportunities, structured support, encouragement and time for researchers to engage in a minimum of 10 days professional development pro rata per year, recognising that researchers will pursue careers across a wide range of employment sectors	PCDI1.1. Campaign and promote the 10 days protected time for training and development activities and promote the use of CPD template along with it.	Awareness of 10 days for training and development increases and embedded in the culture. 20% increase in respondents to CEDARS 2023 that spent 5-10 days on training and other continuing professional development activities (from 23.23% in CEDARS 2021) in question 35 and 20% increase in respondents agreeing to question 30.5 in CEDARS 2023 (from 19.79% in CEDARS 2021).		Research Staff Forum / Deputy Director & Provost		
		3.1.b. Review current training programmes across the School ensuring they are suitable. Existing training will be mapped to Vitae's RDF to identify any gaps in training requirements. Ensure all training offered is readily available on the intranet and promoted to staff.	Review and mapping of training available undertaken and gaps identified. Engagement with training available to researchers monitored. Data used to inform priorities for the TED programme to support career development for research staff.	March 2023	Training & Development Advisor		
,	Provide training, structured support, and time for managers to engage in meaningful career development reviews with their researchers	PCDI2.1. Provide guidance on developing a Personal Development Plan for managers and researchers. Guidance to also be available in PDR guidance and plans to form part of PDR discussions.	Guidance developed and advertised to all staff. Aim for 50% of early career researchers using the plan by 2023. 20% increase in respondents agreeing to question 30.5 in CEDARS 2023 (from 19.79% in CEDARS 2021).	October 2023			
	Ensure that researchers have access to professional advice on career management, across a breadth of careers	3.4.a. Review careers support and advice service available to researchers.	Careers services available for researchers expanded and promoted. 10% increase of respondents agreeing to question 30.4 at CEDARS 2023 (from 5.2% in CEDARS 2021).		Training & Development Advisor/Strateg ic Research Officer		





PCDI4 No further actions identified - business as usual Provide researchers with opportunities, and time, to develop their research identity and broader leadership skills PCDI5 Recognise that moving between, and working across, 3.2.a. Establish the careers event Changing Careers event to have been run twice successfully, in November TED team / 2021 and 2023. Monitor attendance with at least 40 SRO team employment sectors can bring benefits to research and Expectations as a feature of the TED programme to 2023 researchers, and support opportunities for researchers to be run every other year. researchers present per event with 100% agreeing the experience this Obtain feedback on the event to review what type of session was effective. careers researchers would prefer to have included. PCDI6 Monitor, and report on, the engagement of researchers and No further actions identified - business as usual and their managers with professional development activities, and see 12 d researcher career development reviews Funders must: PCDF1 Familiarise themselves, and work in accordance with, relevant N/A employment legislation and codes of practice, institutional policies, and the terms and conditions of grant funding PCDF2 Embed the Concordat Principles and researcher development N/A into research assessment strategies and processes PCDF3 Acknowledge that a large proportion of the researchers they N/A fund will move on to careers beyond academia, and consider how they can encourage and support this within their remit Managers of researchers must: PCDM1 Engage in regular career development discussions with their PCDM1.1. CPD discussions to take part of the PDR Use of the CPD template and discussions to happen September Head of TED researchers, including holding a career development review at process and made clearer to emphasise the at PDRs. Monitor through discussions with the 2023 researcher staff forum and the ECR network. Measure importance and expectations around career least annually development. through increase by 5% in respondents in CEDARS 2023 agreeing in guestion 30.1 (from 80.2% in CEDARS 2021) and 10% increase in respondents agreeing in guestion 30.2 (from 36.45% in CEDARS 2021) PCDM2 Support researchers in exploring and preparing for a diversity Increase number of mentors by 25%. March 2023 3.5.a. Revise and review Mentoring scheme annually Head of TED of careers, for example, through the use of mentors and using feedback from mentors and mentees. Aim to Time to match mentor to mentee reduced to 3 weeks. careers professionals, training, and secondments increase number and spread of mentors thus reducing Scheme revised. Satisfaction rates for the scheme to time taken to match mentees with mentors be over 80%. PCDM3 Allocate a minimum of 10 days pro rata, per year, for their No further actions identified - see PCDI1.1 researchers to engage with professional development, supporting researchers to balance the delivery of their research and their own professional development PCDM4 Identify opportunities, and allow time (in addition to the 10 days No further actions identified - see PCDI2.1 professional development allowance), for their researchers to develop their research identity and broader leadership skills. and provide appropriate credit and recognition for their endeavours PCDM5 Engage in leadership and management training to enhance PCDM5.1. Develop further training for research Training needs evaluated and developed. Monitor Head of TED September heir personal effectiveness, and to promote a positive attitude leaders and Heads of Departments attendance and feedback - aim for 60% of staff 2023 to professional development attending training by 2023 and 80% satisfaction rates to feedback. Researchers must: PCDR1 Take ownership of their career, identifying opportunities to work No further actions identified - see PCDI1.1 towards career goals, including engaging in a minimum of 10 days professional development pro rata per year PCDR2 Explore and prepare for a range of employment options across No further actions identified - this is done through different sectors, such as by making use of mentors, careers various events at the School as well as the mentoring professionals, training and secondments programme. See actions 3.2.a and 3.4.a PCDR3 Maintain an up-to-date professional career development plan No further actions identified - see 3.1.b, and PCDI2.1 and build a portfolio of evidence demonstrating their experience, that can be used to support job applications PCDR4 Positively engage in career development reviews with their No further actions identified - see PCDM1.1 managers

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MEDICINE	HR EXCELLENCE IN RESEARCH

	No further actions identified - there are many leadership and other courses available through LSHTM that are very well attended. This is actively monitored and staff are encouraged to attend these.			
Consider opportunities to develop their awareness and experience of the wider research system through, for example, knowledge exchange, policy development, public engagement and commercialisation				

\* The Concordat defines researchers as individuals whose primary responsibility is to conduct research and who are employed specifically for this purpose by a higher education institution or research institute. The primary audience is research staff, e.g. postdoctoral researchers, research fellows, research assistants. The Concordat encourages institutions to include other groups who actively engage in research as beneficiaries of their Concordat action plan. These could be postgraduate researchers; staff on teaching and research, or teaching contracts; clinicians; professional support staff; technicians.